# 00546

1981/04/10

#### CONFIDENTIAL/NOFORN-



#### OFFICE OF THE SECRETARY OF DEFENSE

WASHINGTON D.C. 20301

April 10, 1981

MEMORANDUM FOR B. C. EVANS
EXECUTIVE SECRETARY
CENTRAL INTELLIGENCE AGENCY

SUBJECT: Potential Munitions and Commodity Export Cases with China

As part of the ongoing review of U.S. policy with respect to China, DoD has been working with other government agencies to identify various categories of defense-related equipments which are under consideration for provision to China. (C/NF)

It is requested that the appropriate personnel at the Agency review this draft package and provide us comments on:

- a. Chinese need for materials described herein:
- b. the ability of the Chinese to pay for and/or use these materials; and
- expected Soviet reaction if the U.S. were to provide any or all of these items.

Any other comments you feel are germane to the general subject of such a relationship with the Chinese would also be appreciated. (G/NF)

A response by 1 May would be appreciated (if that is not reasonable, please let me know). (U)

H. Rixse The Special Assistant

Attachment

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motalia/fuller Cantalia/fuller Delia/fuller	Closed - No Objection	Closed - No Chiperi .	Cliend - No Dujoitt a	Closed - Withdrawn by OPC	21seed - 80.06 Mct.(04	Closed - Objection	Closed - No Objection	Closed - Withdrawn by Applicant	Closed - He Objection	Clused - Bo Objection	Closed - Objection '
DESCRIPTION	BP) demputer Generaled Views   Pythan East For Plight Himpletion (Component for MARPIES Flight Trainer)	EM Systems (Including Cavity Sectod Spirel Astendel	Pressure Transducers	AR/Add-12) Tectical Heripation Bot (gg ABW Helicyptore	CN-47 Chiabod Helicopter	best Chiber Asmo Mamelactueling	Service Communications Squipment for Antonny	Or mund hanned hader	AB/TRC-87 & AB/GBC-301 Temperapheric Cums. Bystons	178-43 Medici	hatomated mobile Operation Center Closed - Objection to Augment expected by AR/FFE-41F Baket - Tanaca.
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New Pilate	Versalas 7000 Platter/Printer	Brackwise Scool Launch Vahlein System, Jan 80	Model TCS-116-3 Compre	Lange Instrumentation Rador Equipment	Ag/TBC-170 Digital Trapmacation Communications system	Reportly manufactual & Trestment	H 1 C-11	Militarised Bial competers	mite Carps Carriers	Armered Infantry Pighting Vahialo Closed - Wilhdraws	All 1842 Armoted Personnel Cartiers	farget Brone Autopliets	
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PRC Dual-Use Export Cases Referred to DoD by Commerce
Department

Unlike the procedures which govern Munitions Control, the request for a license under the Export Administration.

Regulatione conetitutes a request for authorization to ship the item, not to negotiate the eale. The exporter has already negotiated with the ultimate consignee and has a contract in hend. Excer for the few cases wherein the applicant hee requested an advisory opinion, there is an "order" elready in hand which the export will fill.

Therefore while only some of the cases listed herein fall within the purview of the 4 September list, all but the first case listed are for items that an element or Ministry of the PRC has requested. Therefore the items in queetion are not "hunting" expeditions by U.S. industry.

It is also necessary to point out that Group 2 of the 4 September list relates to a line of Honeywell and Digital Equipment (DEC) computers plus a level of disc drives. The specific DEC computer listed is a militarized version of the very popular PDP 11/34. This system is not manufactured by .EEC, but by the Hordin Division of United Technologies.

Bowever the non-militarized version by DEC and computers of comparable or higher performance by other mainframe manufacturers were considered to be included or at least implied under Group 2.

a hy comment to the	B C A C A C A C A C A C A C A C A C A C	Aprioval In principle 31 Jan 80	Approval with provinture. 17 Jan 80	Approve! 7 Feb 80	Approval - 6 Mar 80	Approval with province 22 may 00	
pro Dunk-Une Export Cases Reform to Deal by it will be observed Simp End Cologory from 4 Sopt 1000000000000000000000000000000000000	COMMODITY	DC-9-80 licensed production- basic policy invested, advice and quidance requested. (Joint cooperation in commercial transport aircraft production)	Demo of voice digitizer	Specially designed parts and accessories for use in manufacture of alreraft	NYCAM Optical Meads	Establishment of a facility to manufacture ICs	
PRC Duct-the	COMPANY	AcConnell Douglas	CTE Sylvania	McDonnell Douglas Carp.	Mediake Corp.	MUSTER Corp.	•
o Group Ital. W.	YALUE.	Mone (Mo mteted cost value)	\$ 26,000	800,000	115'9	\$ ),009,000 (price included in beck-buy of finished IC)	ų.
141 Society 115	BTR MO.	Money Money Advisory Opinion Oc 6164	347592 A 391799 OC 6166	JA 399566	JA 397820	Completions 200 100 100 100 100 100 100 100 100 100	

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Y 1 2 3 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4		Approval - 22 May 80	Approvel with provision 7 August 1980	PRG Link - 7 Auquat 1910	Approved - 7 August 80
	ALI (OCMACO)	10 Dic#	Computer System for Uranium Exploration	4 Axes CMC Unit; reapport from UK	Burroughs B&Blo Computer aystep for Um-Sponsored information processing and training center
	COMPANY	Asimuth Industrial Co.	Goemetrica inc.	Mentinghouse Electric Co.	2,385.487 Burrougha Corp.
	VALUE	800,000,4 \$	\$ 443,345	\$ 10,679.54 26,934.00	2,395.487
	의 대	97446	420364	43384	

	W. T. T. C.	Avaiting clatifying Etatements from applit out	Deferred aubject to spequeds lisus belong developed for Western Geophysical - 1 Aug au	us-owped and operators	Dyna Contact		
OC DOCUMENTS	COMMODITY # ARES CHC Units, G.E. 1050 MC, temporary export	Temporary esport of a DEC/VAX 11/780	Advisory Opinion on proposed export of DEC VAX 11/740 computer system for selectoressing	IBM 3033 computer for oil exploration	Reexport (m Japan - VR17 graphic display with VT11 controller, request for advise and quidance		
-	COMPANY General Electric	Schlumberger Tech Corporation	Digicon Inc.	Mestern Georphysical Co.	Digital Equipment Corp.		
	VAL UE 40,000	\$	W.	The state of	\$15,210.00		
	11759 υλ ας 6116	A. 18 (18)	100 July 100 Comments of the C	م. ا د ما	-	•	

		OC DOCUMENTS
VALUE	COMP ANY	COMMODITY
8 15,210.00	pigital Equipment Corp.	Resport from Japan - VR17 graphic diaplay with VT11 controller, request for advice and quidance
82,371,392,00 , Sperry World , Trade	Sperry World Trade	Loan of Univac 1100/11 computer system for "Joint Project" and maintenance training of PRC Personnel
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5. 图5. 水泥 海豚	f Pratt release Pratt Pratt-6		onatruction No objection recking Jun 80	ng dealgn, No objection on and to hydrotreat or phthalic furited	nch Liederone No objection efinery plant 11 Apr 80	hydrogen Ma objection
COMMODITA	Tach Data for manufactur or selected components of Pratt and Whitney of Canada PTGT-6 turbchaft seroengine	Tech Data, construction, operation, 3 slusinum emalters, Alcoa 697 pot call design including ancillary facilities	* Tech Data - Design, construction and operation of unicracking Mydrocracking unit	Tech Data - Engineering design, construction, operation and maintenance of plant to hydrotreat hapthalene and produce phthalic analydide from desulfurited neghthalene.	Tech Data - Bausite and Limbstone mine and an alumina refinery plant	TO for purification of hydrogen using pressure swing absorption
COMPANY	United Technologies Corporation	Aluminum Co.	Union Oil Co. of California	Asia Badger	Aluminum Co.	Union Carbid Corp
WALUE	se stated	1159,000,000	2,000,000	5,000,000 (Ret)	159,000,000	000,000,1

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•	REMARIS	Mo objection 7 Apr #0	No objection 9 Apr 20	No objection	Mo ebjection 21 May 80	No objection 29 April 40	No objection 7 Apr 80		<del></del>	
	COMMODITY	Tach Data - construction operation and maintenance of gas purification plant for removal of acid gases.	Tach Data - Manufacturing drawings, design, procedures, manuals, specs, training, production of industrial and commercial electrical power shunt capacitors	tech Data for production of acrylic saulaton polymers	tech Data - Construction of structural components of off-highway trucks in 120 and 170 tons capacity and find? essently	Manufacturing drawings, apacs, and test data relating to heavy machinery portion of Sperry Gyrofin Ship Stabiliser	Tech Date - geophysical date offenore including maps			
	THEFT	Allied Chemical Corp.	McGrav-Edinon Co.	Rohm & Heam Co.	MABCO Construction and Mining Group	Sperry Corp. on avings)	Shell Development Company	}		
	VALUE	\$ 212,000	\$ 315,000 {*pprox}	\$ not yet negotiated	79	\$ 25.00 (Reproduction cost of drawin	000.000.			
`*	918 HO	DA 420112	**************************************	MA 421401	WA 42180	MA 420750	424314 424318 424318 424318,19	•		

	,	REMARKS	No objection - 21 Pri. 1	No objection - 24 Jan an -	No objection to refer or of basic tech data	No objection - 4 Mar HU	No objection - 13 Mar An	No objection - 14 fct	No objection - 22 Apr 111	No abjection - 30 Jun 20			
		COMMODIA	Tech Data for removing carbon dioxide/hydrogen aulfide from eynthels gas	Tach Data for construction of a facility to produce Coca Cola	Tech Data for production of Model 1151 electronics pressure transmitters	Know-how technical info and tech data for assembly and manufacture of household sewing machines	Blueprints, sechanical drawings, and material space for proposed package for tractor parts	Tech Data feasibility study for expansion of Chiao and Haeu gold and eliver mines, benefaction plants and recover; plants	Tech Data - Titanium dioxide plant and waste treatment plant	Drawings, Specs, assembly, test			
		CUMPANY	Benfleld Carp.	Cocoa Cola Co.	Rose Local Lac.	Singer Ca.	Allia Chalmers Corporation	Davy McKee Corp.	lcan Cyana any	. E.			
•		VALUE	000'05	\$ not applicable	# 320,000 plum reyalties	# not stated	# not applicable	\$ 700,000	\$ 4,000,000	000,02			
		BTR #0.	NA) 414745	406011 MU	NA 415620	M 416780	JA 416953 .	(1+1+1) Va	WA *1899	pd 117838	, i		

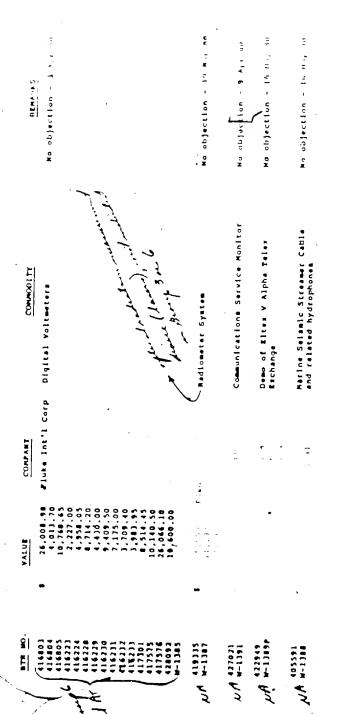
		ACHARK	No objection - 7 led ad	. No abjection - ) lit il	No bojection - 17 hal da	No objection - 10 1:1 10	No objection - 31 July 101	=	No objection - 28 Aury 118		-
1	a training/manuf, of TV reflection	Yokes	Specs and drawings related to a , sperfy MR37 and Mod 1 a		Drawings, process sheets, specs, quality control and test procedules relating to production of a series of industrial hydraulic control valves	of amali vessel autopilot Sperry Model 8T	Request for quotation to manuf parts in PRC on environments or trol systems on Boeing 707, 737, 474, 767 model afroraft	Set of drawings for Xeros Model 3300 copying mechines	Drawlings, spece to produce core type rock bits		
		. Fac 4800	Sperry Corp	; ;	Sperry Corp.	Specif Corp.	Garrett Corp.	Keron Corp.	Hughes Tool Co.		
/		,	\$ 2	000'000'8 8	100,000	\$ no comt	\$ no mtated	s not	*/c #		
~ \\	_		M 418157	*******	M ono	NA 41754.	NA 410263	with warrs	NA 506358	•	
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Received #/7/80 Closed by Dr. Gar	Drawings, specs to produce confe type rock bits	Muges Tool Co.	Royalties to be paid
Received, 30 July Comparable, to D. Came for USSA	Know-how, info, tech assistance and training production and use of oil flaid rock bits	Mugher Tool Co.	Thus royaltha
Received 13 Aug No objection - 6 September 80	TD to galvanizing line, coil painting line and coil foreing line associated with coid coiling mill steel plant	MEAN UNITED INC.	
No objection 18 July 80	Hanufacturing drawings, process sheets, etc., industrial hydraulic control	Sperry Carp.	9 300,000
-	TECHNICAL DATA CASES  COMMODITY	COMPANY	VALUE
		•	· .

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	PEMANKS.	Deny Kasper Model 2001 Approve PMS 500 30 Jan 80	We abjection - 10 Mirigh	My objection from train- notogical attacks in a for USSM should be replexed after redefini- tion of procedures	No objection - 7 f. U. RO	No objection - 14 t.l. fill	Mo objection - 17 Arr an	No objection - 13 A or Su	6.8 ***
MAIVER MEMOS	AL 100mmoo	Manufacturing equipment for ICs	Scope and Probe	Lamer source apentrometers	, Scope	Digital Multimeter	Magnetoseter	Demo of PCL Laser	•
	COMPANY	Danematau- Goabo-Inc. (USA)	Hewlett Packard	Lesser Analytica Inc.	IBM Corp.	Kelthley Instruments Inc.	GeoMetrice Inc.	Burleigh Inetru.	
	VALUE	329,640	4,565	108,125	15,000	71,680	96,105	41,200	
		• .	-		<u>.</u>	-	•	•	
	BTR NO.	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	1000	D M. H-1362	A	Accept 409790	A 417561 (PRC)	Brook 41387	



1 El 19.		VALUE	COMPANY	CORMODITY	
Arrel 124913	•	435,250	ind World Trade Corp.	Export of 18M 370/138 Computer System	No objection with
1 433368	•	11,160	Hewlett-Packard	Microwava TMT amplifiers	mo objection = 29 M p dn
13524 A 1-16028	-	182,300	bigital Equipment Corp.	Reexport from Hong Rong - FDP 11/34 Computer system with Rto1 disc drive and UR 17 graphic display	Approved POP 11/34 denied graphic dipplication to
JA 420113	• .	107,554	BG&G Geometrics Division	Magnatoseter Systems	Approved - 25 Jun 80
μή 433559 μ-1407	• •	10,480	RCA.	Photomultiplier Tubes	Approved - 21 May 80
101 20 10 AN	7 ·	28,000	Hawlet to-Packard	Costum beam Prequency	Approved - 25 Jun 80
D. v. P 4 419288	-	21,000	5123	Spectrofadlometer System	Approved - 25 Jun An
A 4 4 4 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	•	16,500	Fluke Int'l	Counter	Approved - 10 (10) 80
D. T. 406380	•	46,120	Hewlett-Packard	Calculator with Frequency	Approved - 10 Jul 80
XA 4-1435	•	10,357	ясА	Photomultiplier	Approved - 16 Jul 60

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211 commercial sections of sealing graces line.
if my It secures type stranger in beauty " LIC.
"Secon Tiske relate le Nougotime on l'an met
ecolly creced conder Nougotime.

	REAL STATES		No objection				Simil	April 1	L'in morting	Mo objection -
MAIVER MEMOS	COMMODIAL	4 ea. EG 1050 MCL CMC units with perts and accessories	Aircraft Etructural loading and Data Acquisition System	Hodel #/32 computer system with 300 Mbyte disc drives	Tektronik Graphic Terminal	Holographic Leser Systems	Intel Microcomputer development system request for advice and quidance	Acoustic Emission Test System	Bingle card computers	Proposed demo of a GTD-120 PABX
	COMPANY	General Electric	MTS Systems Corporation	Perkin Elser Cosputer Statess Div.	Tektronis Inc.	Hadron Inc.	Citation Computer Systems	Acoustic Technology Corp.	Cromenco International Inc.	General Telephone & Electronics (GTE)
	VALUE	000, 99	\$ 5,253,000	331,298	8 27,763	\$ 116,012	15,680	\$ 25,000	000'+	45,000
	2  E	M 353695 M	A 4 420630	401130 4-11920 6-44-11920	# 190677 A A 14-1404P	AN 426906	40964 AP 4-1415P	WA 434603	LA 415865.	X/A 416959 W-1435P

BENALIKS	Mo objecti n - 17 September no	*/cond. 17 Septem(or 10)		No objection - E September 30	No objection -	Additional informations requested - Feptesber 80 .
11 (100mm02)	Source tools (Master tools) used in production of the DC-9-80	Seismic Exploration	Calibrator	Naintenance device for IMM disc storage	Ruby Heloraphic Lawer .	Infrared detector
COMPANY	Douglam Aircraft Corporation	Geophysical Services	Fluke Int'l	IBM World . Trade	Apollo Lamer, Inc.	Infrared Assoc.
WALUE	8 36,000,000	• cou	\$ 23,156	10,000	8 167,492	31,300 11,300
§  	442915	164225 164226 164221 164221 164227 164227 164227 164227 164227	Energy 446931	WH 435942	W.F. 443860	Company of the state of the sta

BENAMES	Ma objection - 2) September 80		Mo objection - 29 September 80	
COMMODITY	infrared radiation detection equipment	Various converters, etc.	E-Beam Laser Systems Oscilloscope	
COMPARY	Est Sales Corporation	Analog Devices	Systems, Science and Software Mewlett-Packard	
YALUE	8 18,420 112,375	# 65.000 врегов.	8 150,750 7,900 13,125	

RAI 2000 analog computer  Spectrum analyzer  Air Traffic control processing  Air Traffic control in Aug 84  Air Air Traffic con
•
Electron tubes, machlett tubes, Mo objection - 6 Jul noveter, jacket, O-ring gaskets
COMMODITY

10 A 411164

WA 417962 WA 500691

WA 418941

ACHARRS COMMODITY Spectrum Amelyser COMPANY Hewlett-Packard YALUE \$4,677.00

Approved - 26 Aug Ril

Brown 16 503737

Ĭ				BTH CASES	,
1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1		VALUE	COMPANY	LT TOWNOOD	REMAINS:
11-VI Inc.	-	301,715	11-VI Inc.	Cylinderical grinder, water edge grinder, aificon water grinder.	No objection 24 September Ho
796188 W	•	36,000	MEAN United Incorporated	DEC PDP 11/04 computers #/28K of core memory	Mo objection
A OTTO M.	•	220,339.10	Canberra Industries	Amended to include teletype, print heed, Fortran programming language to Fortran IV	No object? in A
J. 50.333	•	1,306	RCA .	VET 250 cassatte video recorder	. No objection
1A 504691	-	1,950.00	1,950.00 Kastman Rodak Co.	711.	Mo objection
// (1353)	•	11,790.00	11,790.00 Solartron,	CPU, LSI, Ploppy Disc,	No objection 10 September to
A 447132 T	-	1,117.00	mational memiconductor Corporation	Translatora	
A 444790 AM.	•	20,000.00	Control Data Corporation	Spare parts for CDC Cyber 18-20 computer system	Defer to that September in

COMPANY	Analog Devices Inc.	Eastman Rodak Co.	٠
10	2,387.0p	734.00	
VALUE	•	•	
	•		•
THE EC.	06153	01110	

REMARKS

Dany - 2 Oct 80

Righ Definition serial

Converters

REHAKKS	No objection - 26 Aug	No objection - 27 Airj	•
COMMODIAL	1 PDF 11/34 computer system with associated sperse, supplies, and software	Energy rationater, probe	
COMPANY	Digital Equip- ment Corp.	\$ 1,856.87 Lamer Precision Corporation	
VALUE	8 129,500	1,056.07	
OI PTR NO.	\$08711	102906	/

REMARKS	Denisi - 7 Jan 80	No objection - 28 Las An	No objection providing software is minimus required to support and use - 14 Jan 80	No objection - cancur with DOC - 21 Feb 80	No objection - 10 Mar #0	No objection - 10 M tr 98	No objection - 22 Pob 40
TT I GOTTO	Demo of portable terminal incor- porating bubble memory device	Morland Model 3001 processing digital oscilloscopes	DEC PDP 11/34 computer w/RKO7 disc drives	Seismic data processing system with array transform processor	2 HDS 21/50 multiple application processing systems	HP1000 Model 10 computer for use in a C-100 planicomp steroplotting system	Service (Customer) angineering education on 184 Series I Computer Systems (Tech Data)
COMPANY	Texas Instruments	Morland Corp.	Digital Equipment Corporation	Grant Geophysical Corporation	Mohawk Data Sciences Corp.	Hevlett-Packard	ion Morld Trade Corp.
VALUE	4,215	10,890 22,098	245,810	700,000	\$4,000 20,000	56,835	24,000
	-	S USSR)	•	•	•	•	. •
BTR 80	401050 CM 2188	398070 470891 389819 (USSR) CM 2221	198937 CH 2257	405594 CH 2263	406396 410648 CM 2304	413828 CM 2307	420216 CM 2321

COMPUTER MEMOS

MEMAINS  Mo objection providing to preducte US position; on hardware — 11 Apr 48  Mo objection provided tech date 18 limited to assert and asserted operation and asserted operation and 22 Apr 80  Mo objection — 22 Apr 80  Mo objection — 5 Jun 80  Mo objection — 14 Hay 40  Mo objection — 15 Lun 40  Mo objection — 17 Lui 40	
MEMANIKS  No objection providition  Prejudice US positive  Pardware = 11 April 13  No objection providition  22 Apr 80  No objection = 22 April  No objection = 23 April  No objection = 23 April  No objection = 23 April  No objection = 25 Inc.  No objection = 25 Inc.	•
<b>t-</b>	
CURTONER ENGINEERING Education, on 18st 4331 Computer System (Tach Data)  Model 4047A disc drifte, printer and accessories for use with PRC computer  3 DEC PDF 11/34 computer systems, adulpment  Burroughs B92 Computer systems burroughs B92 Computer systems with MAMG 2265V dis drives  Resport from Sweden - 3 ModComp computer systems with MAMG 2265V dis drives	
COMPANY  IBM Morid Trade Gorp.  Sackman Assoc.  Aluminum Co.  of America Colorado Video Inc.  Burroughe Gorp.  MANG Lebs  ASEA AB Sweden	
* 11,600,000  * 11,118  * 11,118  * 110,762  * 15,200  * 15,200  * 1379,500	

UP CH 2343

420217 WA CH 2327

MEMANAS	We objection = 14 Hay RD	No objection - 3 Jet Ro	No objection - 29 Aug M	
COMMODIAL	Demo of MAMG Computer Equipment	EAI 2000 Analog/Hybrid Computer System	Enhancement of DJS-184 computer (PRC Origin) with taype units	
COMPANY	HANG Labs	Electronic Associates Inc.	Perkin-Kiner	
VALUE	115,544	967,651	100,46	-

함 팀

PENARES	Ma objection - 19 September Jul			No objection -	Requested 411'1 info 25 July An	No objection - 17 September Hil	
COMMODITY	Computerized Source Location System (Acoustic Emission Monitoring System)	One IBM 4331 and 2 18M Series computers	Microcomputer parts and accessories	w/HP 69/H-6-21 disk drive operating systems with microsoft, basic	Parts and components for Mova 4/X Computer used in testing construction material	Restport from Canada - Camputer System for Processing Geological date	Export of PDP 11/34 computer
COMPANY	Dunegon Endevco	IBM World Trade	Chow Yang Co.	Heath Company Int'l Division	Sackman Awsoc.	Canadian Embassy	Digitial Equip- "
AVENE	132,620	1,363,813	10,300	3,800,000	106,400	1,100,000	260,000
	e (k.)	•	• /	•	•	•	•
	(PRC) (PRC) (PRC) (Rungary)				•	^	
TTR NO.	188139 (PRC) 190788 (PRC) 420467 (PRC) 417304 (Mung CM: 2341	1-41960    CH 2367	M CH 2350	WA CH 2393	M CM 2395	WA CH 2414	432394 CM 2341
<b>→</b> Mi	15 j	₹ -7 4	5	WAS	<b>₹</b> .	4 V	+0 ~~≤
	,	يتية	3		-	~	

COMPUTER HEMOS

							•	
REMARKS	No abjection - 12 September 80	.with conditions - .Mith conditions - 18 August 80	No objection - 2) Beptember 80	Concur with Commerce 23 September 80	No objection - with conditions - 8 October 80	•		No objection - 2) September: 80
COMMODITY	** Computer and related equipment for institute for Nigh Energy Physics	Model 9300 tape drives, 9218 formatters No objection to anhance Command III A computer , with condition system	Computer System	Wang Computer with Migh EBTR	PDP 11/04 mystems with Rtill Rt01 disc controller drive	PDF 11/34 16 bit CPU with with with 2.1 Abits sesiconductor sesory	DEC POP 11/34 CPU with RL02 and CAMAC interface	2 MOVA 4/x Computer systems
COMPANY	. Argonne Laba	Kennedy Co.	Texas lostruments	Wang Labe	Digital Equip-	Digital Equip- ment Corp.	Systems Tech. Int'l Inc.	
AALUE .	8 7,368	\$ 30,585.	165,591	\$ 162,050	000'5	000'001 \$	160,000	149,238
FIRE NO.	4 4 4 4 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5	JA 543195	NA 414873 CM 2445	JA 435840	NA CM 2460	A wall CH 25534	ALT CH 2016	JA CM 2417

503733 CH 2441 . MA CH 2462

( 505371 M CH 2641

## EQUIPMENT THE FRC RAS EXPRESSED INTEREST IN — 80 NUMBEROUS LICEUSE APPLICATIONS SUPPLIFIED (4e of 24 Feb 1981)

FOUT PRINT

COMMETC GARE

QTR Radar

Domestic Communications Satellits

Wight Fiering Devices (all types)

175-51 Radar

Aircraft Engines and Accessories

Inertial Navigation System (LTK-51)

### U.S. CONTANT

Inchnical Communications Corp.

Cemeral Electric

Rughes Altereft

Yenus, Varo, ITT, et el

General Electric

Pratt-Whitney & CE

Littes

(

-<del>SEC.3.CT</del>

#### OFFICE OF THE UNDER SECRETARY OF DEFENSE



E ME MEE TIME

6 March 1981

MEMORANDON FOR ACTING DEPOTT UNDER SECRETARY (ENTERNATIONAL PROGRAMS AND

DEPUTY ASSISTANT SECRETARY (EAST ASIA & PACIFIC AFFAIRS),
"INTERMATIONAL SECURITY AFFAIRS
OFFICE OF GENERAL COUNSEL (INTELLIGENCE, INT'L & INVESTIGATIVE

PROGRAMS)

DITTERSE INTELLIGENCE AGENCY (ASS'T VICE DIRECTOR FOR SCIENTIFIC

A TECH INTELLIGRACE)

LIRECTOR FOR FARAMIDDIL EAST/S HENTSPRIEE AFFAIRS (DESD/1741)

ACCUMULATION FOURTH OF STATE (15 - EAST/SCOTT ASSAULT

ORGANIZATION JOINT CHIEFS OF STAFF (JS - FAR EAST/SOUTH ASIA DIV) DEPARTMENT OF THE HAVE (OF-52) DEPARTMENT OF THE AIR FORCE (CVAIP) EXECUTIVE OFFICER, OUSDAY

STRITECT: PRC MIG-21 Engine Overhaul-Coating Request (E)

- (C) On 2 March 1981 (15:25-15:31) I received a phone cell from Mr. Lennox E. Black, Freeident of Taleflex, Inc. (perent company of Semattal, Inc.). Mr. Black stated that the Chinese (PFC) had told him that the Chromalloy American Corporation had retently received approval from the USG to cost three Chinese (CC-11 aircraft engines with their epecial commit—metallic exide coatings, end that once cuated, the engines were to be returned to the PRC for Chinese test and evaluation.
- (3) Mr. Black further indicated that the Chinese would like for his company to likewise cost seven other MIS-21 engines using the Sermelloy-J process, Sermetal would, like Chromalloy, also return the engines back to China for their test and evaluation. He saked if the Chinese acatement was true; and if so, would his company receive the same treatment? I remarked that I would look into the situation and that all companies would be treated the same.
- (C) LtC B. X. Meiser, Director, Munitions Control Staff of my Office, reviewed ell DoD ceees for the PRC and also contected the Office of Munitions Control (DMC) at State to see if they had alther received or approved any such license for the PRC. Neither OUSDPE nor CMC files revealed any such license application or resultant approvel. Mr. Ws. Robinson, Director of DMM, did indicate to LtC Netser, however, that he had heard there might be such an application forthcoming shortly. Under the present guidelines as noted in Reveletter 51 and subsequent interpretations thereof, license applications of this type cannot be approved. Mr. Robinson further stated that he would either disapprove or return without action (RWA) ell such applications.
- (U) At my request, itr. Robinson indicated he would not disapprove or RWA such license applications until we had the opportunity to discuss them in detail. No was also selved to immediately inform us and his superiors whenever the Chinese, Chromalloy or Sermetel/Teleflex do submit applications for this outposes.

CLASSIFIED SY: DESD(1757) RECLASSIFI ON: 5 Mar 1967 SECRET-

TECLASSITIED BY AUTHORITY OF

21 MAY 98 93-F-2441

# <del>-SECTL</del>ET

ICT The primary rationals for requesting O'C/State to delay immediate adverse action on these licenses is because of the notential opportunities they provide the new administration. If approved, they would allow the U.S. to cautiously move into a moderate but closer defense relationship with the cautiously move into a moderate but closer defense relationship with the Chinese; and it would provide the type of technical assistance they have trepeatedly emphasized they need with respect to their intercepter aircraft.

(U) Approved in this instance is obviously a usjor policy decision, end net simply an operational or technical one, even though the number of engines involved is small and the objective is simply to coat the angines, nor transfer the technology. For your information, U.S. companies have set up transfer the technology. For your information, U.S. companies have set up transfer the technology. For your information, U.S. companies have set up transfer the technology. For your information, U.S. companies have set up Japan and Malaysia) countries, and could be approached by the Chinese to perform the same service.

(8) There are, of course, other potential benefits to the U.S., not the least of which would be the excellent opportunity to exploit the Chinese engines for technical intelligence purposes. If considered appropriate, arrangements could probably be made for the U.S. to assist the Chinese in a joint test and evaluation progress. This would be extremely helpful to us if proper test controls and conditions were followed and we had access te all of the test results.

(C) An advantage to approving this type of license application is the fact that only the result (or product) of U.S. technology would be exported. Heither the production know-how nor a process-line type of capability would as experted. Of itself, it potentially opens up for consideration other the experted. Of itself, it potentially opens up for consideration other U.S. services which might be made evailable to help the Chinase improve U.S. services which might be made evailable to help the Chinase improve U.S. for future services, unless of would have the FRC dependent upon the U.S. for future services, unless of course, later USC decisions permitted the technology and process equipment to be exported. Providing only services has other adventages as well. It to be exported. Providing only services has other adventages as well. It is, for example, much less dramatic and provocative view-a-vis the USR, and is consonant with the step-by-step approach to improving US-PRC relations agreed to during earlier meetings with the Chiness. It would most likely require consultations with our COCON allies, however, as well as a revision of smisting guidelines.

The potential dissoventeges of approval are those associated with any clear defense relationship with the Chinese. In addition, the Chinese could convey the results of their tests and evaluations to the USTR. The net benefits to Chine of their doing so are less obvious, however.

(U) This does appear to be one of the more unique opportunities evaluable to move cowards a closer US-PRC relationship. It would also start things moving again vis-a-vis the PRC. It appears to have very limited risks for moving again vis-a-vis the PRC. It appears to have very limited risks for moving and vis-a-vis the visual state of the security, and does have other side benefits. It must be a conscious move on our part, however, and should be taken only effor the relative risks and benefits have been evaluated by OSD, OJCS and the Services.

(U) Ther comments and guidence on what action to take regarding the abovementioned cases are requested.

Francia B. Kapper, Streetor Hilliary Schoology Sharing

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#### THE UNDER SECRETARY OF DEFENSE



ENGINEERING

WASHINGTON D.C. 20301

24 TEB 1981

MEMORANDUM FOR THE SPECIAL ASSISTANT TO THE SECRETARY AND DEPUTY SECRETARY
OF DEFENSE

SUBJECT: Status Report on PRC Munitions and Commodity Export Cases

As requested, please find attached the following items by tab:

TAB A - PRC munitions cases referred to DoD from 1 January 1980 to

20 January 1981 by the Department of State

3 March

TA3 8 - PRC Commodity Control export cases referred to DoD by the Department of Commerce from 1 January to 9 October 1980

TAB C - Military equipment the PRC has expressed strong interest in but for which no munitions license applications have been submitted

The updated mimitions list (Tab A) should be considered a draft as the Department of Stata is still in the process of verifying the status of each case and adding new cases to the list which have come in since 21 January 1981. As of 20 January, a total of 102 munitions cases have been referred to DoD by State, and we estimate that approximately 20 additional munitions license applications have been received since President Reagan's inauguration. All of these applications will be shown in our 1 March update. Due to an extremely heavy case load, the Office of Technology Trade has been unable to update the list of dual—use items covered by the Commodity Control List (CCL). Unless directed otherwise, we will ask that a complete list of PRC requests for items on the CCL be sent to the CIA by the Office of Export Administration (OEA) of the Department of Commerce.

It should be realized that without holding detailed discussions with all of the U.S. industry representatives doing business with the PRC, there is no realistic way of knowing precisely everything the Chinese may wish to export from the U.S. Rather than risk outright disapproval by the U.S. Government, the Chinese typically try to get U.S. companies to seek an advisory opinion from the U.S. Government as to whether or not a particular request will be approved prior to making formal application. For your information, of the 102 munitions cases received from State, nine are still open (i.e., pending) and 93 have been closed. Of the 93 closed, 50 were approved, four were disapproved, 31 were withdrawn and eight were Returned Without Action (RWA). Almost all of the 39 cases either withdrawn or RWA would have been disapproved by DoD. The export of the SCOUT Space Launch Vehicle was one such RWA case we would have strongly disapproved of because of its strategic potential as a Chinese ICBM.

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The Chinese have expressed very keen interest in a number of military items which are either expressly prohibited by current U.S. policy or are not in the categories of equipment permitted for export by Munitions Newsletter #81. The major examples include their specific requests for anti-tank weapons, over the horizon (OTH) radar and for U.S. assistance in improving their F-8 interesptor sirersft, including fire control and inertial navigation systems, ECM gear, etc. We have also informally learned of a number of PRC inquiries for munitions items which neither the Chinese nor U.S. industry have officially requested permission to export. Examples of these are noted at Tab C.

By way of additional background, Dr. Frank Kapper, Director, Military Technology Sharing, OUSDRE did, with Dr. Dinneen's approval, meet with Mr. Charles Neuhauser, Assistant National Intelligence Officer (NIO) for East Asia (now Acting NIO) on 1 July 1980. The purpose of his meeting was to both give and receive information which would be helpful to the CIA on the one hand and to the USDRE during his September visit to China. Mr. Neuhauser was provided copies of all MFR's which documented the meetings with Mr. Liu Huaqing and Vice Premier Geng Biao. In addition, the CIA was provided the then most recent copies of the list of munitions and dual-use export cases that had been referred to DoD by the Departments of State and Commerce. Hr. Neuhauser was also given copies of all PRC wish lists including the one given to Dr. Dinneen by Mr. Liu on 6 May 1980. Also provided was a copy of the 3 June 1980 "Summary of United States Department of Defense Positions on PRC Requests for Military Support Equipment". This is the a-b-c category list which identifies items we would consider for export (a); items we felt we needed more information on (b) and items we said we would not consider for export (c). Hr. Neuhausêr stated he had accompanied Secretary Brown on his January 1980 trip to China and had also been designated as the CIA focal point for all activities relating to China. A copy of Dr. Perry's trip report on his visit to China was also provided to the CIA.

In short, the CIA in the person of Mr. Neuhauser has already been provided extensive background information on what our earlier discussions with the Chinese were and also on those items which the PRC had expressed specific interest in. CIA also has representation on both the Technical Advisory Committees (TACs) and on the Operating Committees (OC). More specifically, Mr. George Schember of CIA is a non-voting member of the interagency Operating Committee, which is chaired by the Department of Commerce. Mr. Schember serves as the interface for both State and CIA on export license requests for all proscribed countries. As a result of a recent CIA-Commerce agreement, he also has access to any information required by his Agency.

USDA

Walter B. LaBerge Acting